MINIMUM DATA SET (MDS) - Version 3.0
RESIDENT ASSESSMENT AND CARE SCREENING
Nursing Home and Swing Bed OMRA-Start of Therapy (NS/SS) Item Set

Section A	Identification Information

A0050. Type of Record

Enter Code [ ]

    1. **Add new record** ⟶ Continue to A0100, Facility Provider Numbers
    2. **Modify existing record** ⟶ Continue to A0100, Facility Provider Numbers
    3. **Inactivate existing record** ⟶ Skip to X0150, Type of Provider

A0100. Facility Provider Numbers

    A. National Provider Identifier (NPI):

    B. CMS Certification Number (CCN):

    C. State Provider Number:

A0200. Type of Provider

Enter Code [ ]

Type of provider
    1. **Nursing home (SNF/NF)**
    2. **Swing Bed**

A0310. Type of Assessment

Enter Code [ ]

A. Federal OBRA Reason for Assessment
    01. **Admission** assessment (required by day 14)
    02. **Quarterly** review assessment
    03. **Annual** assessment
    04. **Significant change in status** assessment
    05. **Significant correction** to **prior comprehensive** assessment
    06. **Significant correction** to **prior quarterly** assessment
    99. **None of the above**

Enter Code [ ]

B. PPS Assessment
PPS Scheduled Assessments for a Medicare Part A Stay
    01. **5-day** scheduled assessment
    02. **14-day** scheduled assessment
    03. **30-day** scheduled assessment
    04. **60-day** scheduled assessment
    05. **90-day** scheduled assessment
    06. **Readmission/return** assessment
PPS Unscheduled Assessments for a Medicare Part A Stay
    07. **Unscheduled assessment used for PPS** (OMRA, significant or clinical change, or significant correction assessment)
Not PPS Assessment
    99. **None of the above**

Enter Code [ ]

C. PPS Other Medicare Required Assessment - OMRA
    0. **No**
    1. **Start of therapy** assessment
    2. **End of therapy** assessment
    3. **Both Start and End of therapy** assessment
    4. **Change of therapy** assessment

Enter Code [ ]

D. Is this a Swing Bed clinical change assessment? Complete only if A0200 = 2
    0. **No**
    1. **Yes**

    A0310 continued on next page

Section A	Identification Information

A0310. Type of Assessment - Continued

Enter Code	**E. Is this assessment the first assessment** (OBRA, Scheduled PPS, or Discharge) **since the most recent admission/entry or reentry?** 0. **No** 1. **Yes**
Enter Code	**F. Entry/discharge reporting** 01. **Entry** tracking record 10. **Discharge** assessment-**return not anticipated** 11. **Discharge** assessment-**return anticipated** 12. **Death in facility** tracking record 99. **None of the above**
Enter Code	**G. Type of discharge** - Complete only if A0310F = 10 or 11 1. **Planned** 2. **Unplanned**

A0410. Submission Requirement

Enter Code	1. **Neither federal nor state required submission** 2. **State but not federal required submission** (FOR NURSING HOMES ONLY) 3. **Federal required submission**

A0500. Legal Name of Resident

	A. First name:	**B. Middle initial:**
	C. Last name:	**D. Suffix:**

A0600. Social Security and Medicare Numbers

	A. Social Security Number: ___ ___ ___ **B. Medicare number** (or comparable railroad insurance number)**:**

A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient

A0800. Gender

Enter Code	1. **Male** 2. **Female**

A0900. Birth Date

	___ ___ ___ Month Day Year

A1000. Race/Ethnicity

↓ **Check all that apply**

☐	**A. American Indian or Alaska Native**
☐	**B. Asian**
☐	**C. Black or African American**
☐	**D. Hispanic or Latino**
☐	**E. Native Hawaiian or Other Pacific Islander**
☐	**F. White**

Section A	Identification Information

A1200. Marital Status

Enter Code [ ]

1. **Never married**
2. **Married**
3. **Widowed**
4. **Separated**
5. **Divorced**

A1300. Optional Resident Items

A. Medical record number:

B. Room number:

C. Name by which resident prefers to be addressed:

D. Lifetime occupation(s) - put "/" between two occupations**:**

A1600. Entry Date (date of this admission/entry or reentry into the facility)

_____ _ _____ _ _____
Month   Day   Year

A1700. Type of Entry

Enter Code [ ]

1. **Admission**
2. **Reentry**

A1800. Entered From

Enter Code [ ]

01. **Community** (private home/apt., board/care, assisted living, group home)
02. **Another nursing home or swing bed**
03. **Acute hospital**
04. **Psychiatric hospital**
05. **Inpatient rehabilitation facility**
06. **ID/DD facility**
07. **Hospice**
09. **Long Term Care Hospital** (LTCH)
99. **Other**

A2000. Discharge Date

Complete only if A0310F = 10, 11, or 12

_____ _ _____ _ _____
Month   Day   Year

A2100. Discharge Status

Complete only if A0310F = 10, 11, or 12

Enter Code [ ]

01. **Community** (private home/apt., board/care, assisted living, group home)
02. **Another nursing home or swing bed**
03. **Acute hospital**
04. **Psychiatric hospital**
05. **Inpatient rehabilitation facility**
06. **ID/DD facility**
07. **Hospice**
08. **Deceased**
09. **Long Term Care Hospital** (LTCH)
99. **Other**

Section A	Identification Information

A2300. Assessment Reference Date

Observation end date:

___ ___   ___ ___   ___ ___ ___ ___
Month   Day   Year

A2400. Medicare Stay

Enter Code [   ]

A. Has the resident had a Medicare-covered stay since the most recent entry?

   0. **No** ⟶ Skip to G0110, Activities of Daily Living (ADL) Assistance
   1. **Yes** ⟶ Continue to A2400B, Start date of most recent Medicare stay

B. Start date of most recent Medicare stay:

___ ___   ___ ___   ___ ___ ___ ___
Month   Day   Year

C. End date of most recent Medicare stay - Enter dashes if stay is ongoing:

___ ___   ___ ___   ___ ___ ___ ___
Month   Day   Year

Section G	**Functional Status**

G0110. Activities of Daily Living (ADL) Assistance
Refer to the ADL flow chart in the RAI manual to facilitate accurate coding

Instructions for Rule of 3
- When an activity occurs three times at any one given level, code that level.
- When an activity occurs three times at multiple levels, code the most dependent, exceptions are total dependence (4), activity must require full assist every time, and activity did not occur (8), activity must not have occurred at all. Example, three times extensive assistance (3) and three times limited assistance (2), code extensive assistance (3).
- When an activity occurs at various levels, but not three times at any given level, apply the following:
  ○ When there is a combination of full staff performance, and extensive assistance, code extensive assistance.
  ○ When there is a combination of full staff performance, weight bearing assistance and/or non-weight bearing assistance code limited assistance (2).

If none of the above are met, code supervision.

1. ADL Self-Performance
Code for **resident's performance** over all shifts - not including setup. If the ADL activity occurred 3 or more times at various levels of assistance, code the most dependent - except for total dependence, which requires full staff performance every time

Coding:

Activity Occurred 3 or More Times
- 0. **Independent** - no help or staff oversight at any time
- 1. **Supervision** - oversight, encouragement or cueing
- 2. **Limited assistance** - resident highly involved in activity; staff provide guided maneuvering of limbs or other non-weight-bearing assistance
- 3. **Extensive assistance** - resident involved in activity, staff provide weight-bearing support
- 4. **Total dependence** - full staff performance every time during entire 7-day period

Activity Occurred 2 or Fewer Times
- 7. **Activity occurred only once or twice** - activity did occur but only once or twice
- 8. **Activity did not occur** - activity did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period

2. ADL Support Provided
Code for **most support provided** over all shifts; code regardless of resident's self-performance classification

Coding:
- 0. **No** setup or physical help from staff
- 1. **Setup** help only
- 2. **One** person physical assist
- 3. **Two+** persons physical assist
- 8. ADL activity itself **did not occur** or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period

	1. **Self-Performance**	**2.** **Support**
	↓ Enter Codes in Boxes ↓	
A. Bed mobility - how resident moves to and from lying position, turns side to side, and positions body while in bed or alternate sleep furniture	☐	☐
B. Transfer - how resident moves between surfaces including to or from: bed, chair, wheelchair, standing position (**excludes** to/from bath/toilet)	☐	☐
H. Eating - how resident eats and drinks, regardless of skill. Do not include eating/drinking during medication pass. Includes intake of nourishment by other means (e.g., tube feeding, total parenteral nutrition, IV fluids administered for nutrition or hydration)	☐	☐
I. Toilet use - how resident uses the toilet room, commode, bedpan, or urinal; transfers on/off toilet; cleanses self after elimination; changes pad; manages ostomy or catheter; and adjusts clothes. Do not include emptying of bedpan, urinal, bedside commode, catheter bag or ostomy bag	☐	☐

Section H	**Bladder and Bowel**

H0200. Urinary Toileting Program

Enter Code ☐ **A. Has a trial of a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training)** been attempted on admission/entry or reentry or since urinary incontinence was noted in this facility?
- 0. **No** → Skip to H0500, Bowel Toileting Program
- 1. **Yes** → Continue to H0200C, Current toileting program or trial
- 9. **Unable to determine** → Continue to H0200C, Current toileting program or trial

Enter Code ☐ **C. Current toileting program or trial** - Is a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) currently being used to manage the resident's urinary continence?
- 0. **No**
- 1. **Yes**

H0500. Bowel Toileting Program

Enter Code ☐ **Is a toileting program currently being used to manage the resident's bowel continence?**
- 0. **No**
- 1. **Yes**

Section O	Special Treatments, Procedures, and Programs

O0100. Special Treatments, Procedures, and Programs

Check all of the following treatments, procedures, and programs that were performed during the last **14 days**

	1. While NOT a Resident	2. While a Resident
1. While NOT a Resident Performed *while NOT a resident* of this facility and within the *last 14 days*. Only check column 1 if resident entered (admission or reentry) IN THE LAST 14 DAYS. If resident last entered 14 or more days ago, leave column 1 blank **2. While a Resident** Performed *while a resident* of this facility and within the *last 14 days*	↓ Check all that apply ↓	

Respiratory Treatments		
E. Tracheostomy care		☐
F. Ventilator or respirator		☐

Other		
M. Isolation or quarantine for active infectious disease (does not include standard body/fluid precautions)		☐

O0400. Therapies

A. Speech-Language Pathology and Audiology Services

Enter Number of Minutes ☐
1. **Individual minutes** - record the total number of minutes this therapy was administered to the resident **individually** in the last 7 days

Enter Number of Minutes ☐
2. **Concurrent minutes** - record the total number of minutes this therapy was administered to the resident **concurrently with one other resident** in the last 7 days

Enter Number of Minutes ☐
3. **Group minutes** - record the total number of minutes this therapy was administered to the resident as **part of a group of residents** in the last 7 days

If the sum of individual, concurrent, and group minutes is zero, → skip to O0400A5, Therapy start date

Enter Number of Minutes ☐
3A. **Co-treatment minutes** - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days

Enter Number of Days ☐
4. **Days** - record the **number of days** this therapy was administered for **at least 15 minutes** a day in the last 7 days

5. **Therapy start date** - record the date the most recent therapy regimen (since the most recent entry) started

6. **Therapy end date** - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

_ _ _ _ _ _ _ _
Month   Day   Year

_ _ _ _ _ _ _ _
Month   Day   Year

B. Occupational Therapy

Enter Number of Minutes ☐
1. **Individual minutes** - record the total number of minutes this therapy was administered to the resident **individually** in the last 7 days

Enter Number of Minutes ☐
2. **Concurrent minutes** - record the total number of minutes this therapy was administered to the resident **concurrently with one other resident** in the last 7 days

Enter Number of Minutes ☐
3. **Group minutes** - record the total number of minutes this therapy was administered to the resident as **part of a group of residents** in the last 7 days

If the sum of individual, concurrent, and group minutes is zero, → skip to O0400B5, Therapy start date

Enter Number of Minutes ☐
3A. **Co-treatment minutes** - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days

Enter Number of Days ☐
4. **Days** - record the **number of days** this therapy was administered for **at least 15 minutes** a day in the last 7 days

5. **Therapy start date** - record the date the most recent therapy regimen (since the most recent entry) started

6. **Therapy end date** - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

_ _ _ _ _ _ _ _
Month   Day   Year

_ _ _ _ _ _ _ _
Month   Day   Year

O0400 continued on next page

Section O	Special Treatments, Procedures, and Programs

O0400. Therapies - Continued

	C. Physical Therapy
Enter Number of Minutes [ ]	1. **Individual minutes -** record the total number of minutes this therapy was administered to the resident **individually** in the last 7 days
Enter Number of Minutes [ ]	2. **Concurrent minutes -** record the total number of minutes this therapy was administered to the resident **concurrently with one other resident** in the last 7 days
Enter Number of Minutes [ ]	3. **Group minutes -** record the total number of minutes this therapy was administered to the resident as **part of a group of residents** in the last 7 days
	If the sum of individual, concurrent, and group minutes is zero, ➝ skip to O0400C5, Therapy start date
Enter Number of Minutes [ ]	3A. **Co-treatment minutes -** record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days
Enter Number of Days [ ]	4. **Days** - record the **number of days** this therapy was administered for **at least 15 minutes** a day in the last 7 days

	5. **Therapy start date** - record the date the most recent therapy regimen (since the most recent entry) started 6. **Therapy end date** - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing
	
	   __ __ - __ __ - __ __ __ __ __ __ - __ __ - __ __ __ __
	   Month Day Year Month Day Year

O0420. Distinct Calendar Days of Therapy

Enter Number of Days [ ]	Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes in the past 7 days.

O0450. Resumption of Therapy - Complete only if A0310C = 2 or 3 and A0310F = 99

Enter Code
 	
[ ]
	A. Has a previous rehabilitation therapy regimen (speech, occupational, and/or physical therapy) ended, as reported on this End of Therapy OMRA, and has this regimen now resumed at exactly the same level for each discipline?
	   0. **No** ➝ Skip to O0500, Restorative Nursing Programs
	   1. **Yes**
	B. Date on which therapy regimen resumed:
	
	   __ __ - __ __ - __ __ __ __
	   Month Day Year

Section O | Special Treatments, Procedures, and Programs

O0500. Restorative Nursing Programs

Record the **number of days** each of the following restorative programs was performed (for at least 15 minutes a day) in the last 7 calendar days (enter 0 if none or less than 15 minutes daily)

Number of Days	Technique
	A. Range of motion (passive)
	B. Range of motion (active)
	C. Splint or brace assistance

Number of Days	Training and Skill Practice In:
	D. Bed mobility
	E. Transfer
	F. Walking
	G. Dressing and/or grooming
	H. Eating and/or swallowing
	I. Amputation/prostheses care
	J. Communication

Section Q | Participation in Assessment and Goal Setting

Q0100. Participation in Assessment

Enter Code	A. **Resident participated in assessment**
	0. **No**
	1. **Yes**

Enter Code	B. **Family or significant other participated in assessment**
	0. **No**
	1. **Yes**
	9. **Resident has no family or significant other**

Enter Code	C. **Guardian or legally authorized representative participated in assessment**
	0. **No**
	1. **Yes**
	9. **Resident has no guardian or legally authorized representative**

Section X	**Correction Request**

Complete Section X only if A0050 = 2 or 3

Identification of Record to be Modified/Inactivated - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect.
This information is necessary to locate the existing record in the National MDS Database.

X0150. Type of Provider

Enter Code	**Type of provider**
	1. **Nursing home (SNF/NF)**
	2. **Swing Bed**

X0200. Name of Resident on existing record to be modified/inactivated

	A. First name:
	C. Last name:

X0300. Gender on existing record to be modified/inactivated

Enter Code	
	1. **Male**
	2. **Female**

X0400. Birth Date on existing record to be modified/inactivated

	___ ___ — ___ ___ — ___ ___ ___ ___
	Month Day Year

X0500. Social Security Number on existing record to be modified/inactivated

	___ ___ ___ — ___ ___ — ___ ___ ___ ___

X0600. Type of Assessment on existing record to be modified/inactivated

Enter Code	**A. Federal OBRA Reason for Assessment**
	01. **Admission** assessment (required by day 14)
	02. **Quarterly** review assessment
	03. **Annual** assessment
	04. **Significant change in status** assessment
	05. **Significant correction** to **prior comprehensive** assessment
	06. **Significant correction** to **prior quarterly** assessment
	99. **None of the above**
Enter Code	**B. PPS Assessment**
	PPS Scheduled Assessments for a Medicare Part A Stay
	01. **5-day** scheduled assessment
	02. **14-day** scheduled assessment
	03. **30-day** scheduled assessment
	04. **60-day** scheduled assessment
	05. **90-day** scheduled assessment
	06. **Readmission/return** assessment
	PPS Unscheduled Assessments for a Medicare Part A Stay
	07. **Unscheduled assessment used for PPS** (OMRA, significant or clinical change, or significant correction assessment)
	Not PPS Assessment
	99. **None of the above**
Enter Code	**C. PPS Other Medicare Required Assessment - OMRA**
	0. **No**
	1. **Start of therapy** assessment
	2. **End of therapy** assessment
	3. **Both Start and End of therapy** assessment
	4. **Change of therapy** assessment

X0600 continued on next page

Section X	**Correction Request**

X0600. Type of Assessment - Continued

Enter Code	**D. Is this a Swing Bed clinical change assessment?** Complete only if X0150 = 2 0. **No** 1. **Yes**
Enter Code	**F. Entry/discharge reporting** 01. **Entry** tracking record 10. **Discharge** assessment-**return not anticipated** 11. **Discharge** assessment-**return anticipated** 12. **Death in facility** tracking record 99. **None of the above**

X0700. Date on existing record to be modified/inactivated - **Complete one only**

	A. Assessment Reference Date - Complete only if X0600F = 99 _____ — _____ — _____ Month Day Year
	B. Discharge Date - Complete only if X0600F = 10, 11, or 12 _____ — _____ — _____ Month Day Year
	C. Entry Date - Complete only if X0600F = 01 _____ — _____ — _____ Month Day Year

Correction Attestation Section - Complete this section to explain and attest to the modification/inactivation request

X0800. Correction Number

Enter Number	**Enter the number of correction requests to modify/inactivate the existing record, including the present one**

X0900. Reasons for Modification - Complete only if Type of Record is to modify a record in error (A0050 = 2)

↓ **Check all that apply**

☐	**A. Transcription error**
☐	**B. Data entry error**
☐	**C. Software product error**
☐	**D. Item coding error**
☐	**E. End of Therapy - Resumption (EOT-R) date**
☐	**Z. Other error requiring modification** If "Other" checked, please specify:

X1050. Reasons for Inactivation - Complete only if Type of Record is to inactivate a record in error (A0050 = 3)

↓ **Check all that apply**

☐	**A. Event did not occur**
☐	**Z. Other error requiring inactivation** If "Other" checked, please specify:

Section X	Correction Request

X1100. RN Assessment Coordinator Attestation of Completion

<table>
<tr><td></td><td>

A. Attesting individual's first name:

B. Attesting individual's last name:

C. Attesting individual's title:

D. Signature

E. Attestation date

        __   __

    Month   Day   Year

</td></tr>
</table>

Section Z	Assessment Administration

Z0100. Medicare Part A Billing

Enter Code [ ]	**A. Medicare Part A HIPPS code** (RUG group followed by assessment type indicator)**:**
	B. RUG version code:
	C. Is this a Medicare Short Stay assessment? 　0. **No** 　1. **Yes**

Z0150. Medicare Part A Non-Therapy Billing

	A. Medicare Part A non-therapy HIPPS code (RUG group followed by assessment type indicator)**:**
	B. RUG version code:

Z0300. Insurance Billing

	A. RUG billing code:
	B. RUG billing version:

Section Z	**Assessment Administration**

Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting

I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf.

Signature	Title	Sections	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			

Z0500. Signature of RN Assessment Coordinator Verifying Assessment Completion

A. Signature:

B. Date RN Assessment Coordinator signed assessment as complete:

_____ _____ _____
Month   Day   Year