

## MINIMUM DATA SET (MDS) - Version 3.0 RESIDENT ASSESSMENT AND CARE SCREENING *Nursing Home Discharge (ND) Item Set*

<b>Section A</b>	<b>Identification Information</b>
------------------	-----------------------------------

<b>A0050. Type of Record</b>	
------------------------------	--

Enter Code <input style="width: 100%;" type="text"/>	<ol style="list-style-type: none"> <li>1. <b>Add new record</b> → Continue to A0100, Facility Provider Numbers</li> <li>2. <b>Modify existing record</b> → Continue to A0100, Facility Provider Numbers</li> <li>3. <b>Inactivate existing record</b> → Skip to X0150, Type of Provider</li> </ol>
---	--

<b>A0100. Facility Provider Numbers</b>	
---	--

	<p><b>A. National Provider Identifier (NPI):</b></p> <p><b>B. CMS Certification Number (CCN):</b></p> <p><b>C. State Provider Number:</b></p>
--	---

<b>A0200. Type of Provider</b>	
--------------------------------	--

Enter Code <input style="width: 100%;" type="text"/>	<p><b>Type of provider</b></p> <ol style="list-style-type: none"> <li>1. <b>Nursing home (SNF/NF)</b></li> <li>2. <b>Swing Bed</b></li> </ol>
---	---

<b>A0310. Type of Assessment</b>	
----------------------------------	--

Enter Code <input style="width: 100%;" type="text"/>	<p><b>A. Federal OBRA Reason for Assessment</b></p> <ol style="list-style-type: none"> <li>01. <b>Admission</b> assessment (required by day 14)</li> <li>02. <b>Quarterly</b> review assessment</li> <li>03. <b>Annual</b> assessment</li> <li>04. <b>Significant change in status</b> assessment</li> <li>05. <b>Significant correction to prior comprehensive</b> assessment</li> <li>06. <b>Significant correction to prior quarterly</b> assessment</li> <li>99. <b>None of the above</b></li> </ol>
---	--

Enter Code <input style="width: 100%;" type="text"/>	<p><b>B. PPS Assessment</b></p> <p><b><u>PPS Scheduled Assessments for a Medicare Part A Stay</u></b></p> <ol style="list-style-type: none"> <li>01. <b>5-day</b> scheduled assessment</li> <li>02. <b>14-day</b> scheduled assessment</li> <li>03. <b>30-day</b> scheduled assessment</li> <li>04. <b>60-day</b> scheduled assessment</li> <li>05. <b>90-day</b> scheduled assessment</li> <li>06. <b>Readmission/return</b> assessment</li> </ol> <p><b><u>PPS Unscheduled Assessments for a Medicare Part A Stay</u></b></p> <ol style="list-style-type: none"> <li>07. <b>Unscheduled assessment used for PPS</b> (OMRA, significant or clinical change, or significant correction assessment)</li> </ol> <p><b><u>Not PPS Assessment</u></b></p> <ol style="list-style-type: none"> <li>99. <b>None of the above</b></li> </ol>
---	--

Enter Code <input style="width: 100%;" type="text"/>	<p><b>C. PPS Other Medicare Required Assessment - OMRA</b></p> <ol style="list-style-type: none"> <li>0. <b>No</b></li> <li>1. <b>Start of therapy</b> assessment</li> <li>2. <b>End of therapy</b> assessment</li> <li>3. <b>Both Start and End of therapy</b> assessment</li> <li>4. <b>Change of therapy</b> assessment</li> </ol>
---	---

Enter Code <input style="width: 100%;" type="text"/>	<p><b>D. Is this a Swing Bed clinical change assessment?</b> Complete only if A0200 = 2</p> <ol style="list-style-type: none"> <li>0. <b>No</b></li> <li>1. <b>Yes</b></li> </ol>
---	---

<b>A0310 continued on next page</b>	
-------------------------------------	--

**Section A****Identification Information****A0310. Type of Assessment - Continued**

Enter Code <input type="checkbox"/>	<b>E. Is this assessment the first assessment</b> (OBRA, Scheduled PPS, or Discharge) <b>since the most recent admission/entry or reentry?</b> 0. <b>No</b> 1. <b>Yes</b>
Enter Code <input type="checkbox"/>	<b>F. Entry/discharge reporting</b> 01. <b>Entry</b> tracking record 10. <b>Discharge</b> assessment- <b>return not anticipated</b> 11. <b>Discharge</b> assessment- <b>return anticipated</b> 12. <b>Death in facility</b> tracking record 99. <b>None of the above</b>
Enter Code <input type="checkbox"/>	<b>G. Type of discharge</b> - Complete only if A0310F = 10 or 11 1. <b>Planned</b> 2. <b>Unplanned</b>

**A0410. Submission Requirement**

Enter Code <input type="checkbox"/>	1. <b>Neither federal nor state required submission</b> 2. <b>State but not federal required submission</b> (FOR NURSING HOMES ONLY) 3. <b>Federal required submission</b>
--	--

**A0500. Legal Name of Resident**

	<b>A. First name:</b>		<b>B. Middle initial:</b>
	<b>C. Last name:</b>		<b>D. Suffix:</b>

**A0600. Social Security and Medicare Numbers**

	<b>A. Social Security Number:</b> -      -
	<b>B. Medicare number</b> (or comparable railroad insurance number):

**A0700. Medicaid Number** - Enter "+" if pending, "N" if not a Medicaid recipient

--	--

**A0800. Gender**

Enter Code <input type="checkbox"/>	1. <b>Male</b> 2. <b>Female</b>
--	------------------------------------

**A0900. Birth Date**

	-      -
	Month      Day      Year

**A1000. Race/Ethnicity**

↓ Check all that apply

- |                          |   |
|--------------------------|---|
| <input type="checkbox"/> | <b>A. American Indian or Alaska Native</b>          |
| <input type="checkbox"/> | <b>B. Asian</b>                                     |
| <input type="checkbox"/> | <b>C. Black or African American</b>                 |
| <input type="checkbox"/> | <b>D. Hispanic or Latino</b>                        |
| <input type="checkbox"/> | <b>E. Native Hawaiian or Other Pacific Islander</b> |
| <input type="checkbox"/> | <b>F. White</b>                                     |

**Section A****Identification Information****A1100. Language**

Enter Code <input type="text"/>	<p><b>A. Does the resident need or want an interpreter to communicate with a doctor or health care staff?</b></p> <p>0. <b>No</b></p> <p>1. <b>Yes</b> → Specify in A1100B, Preferred language</p> <p>9. <b>Unable to determine</b></p> <p><b>B. Preferred language:</b></p>
------------------------------------	--

**A1200. Marital Status**

Enter Code <input type="text"/>	<p>1. <b>Never married</b></p> <p>2. <b>Married</b></p> <p>3. <b>Widowed</b></p> <p>4. <b>Separated</b></p> <p>5. <b>Divorced</b></p>
------------------------------------	---

**A1300. Optional Resident Items**

	<p><b>A. Medical record number:</b></p> <p><b>B. Room number:</b></p> <p><b>C. Name by which resident prefers to be addressed:</b></p> <p><b>D. Lifetime occupation(s)</b> - put "/" between two occupations:</p>
--	---

**A1500. Preadmission Screening and Resident Review (PASRR)**

Complete only if A0310A = 01, 03, 04, or 05

Enter Code <input type="text"/>	<p><b>Is the resident currently considered by the state level II PASRR process to have serious mental illness and/or intellectual disability ("mental retardation" in federal regulation) or a related condition?</b></p> <p>0. <b>No</b> → Skip to A1550, Conditions Related to ID/DD Status</p> <p>1. <b>Yes</b> → Continue to A1510, Level II Preadmission Screening and Resident Review (PASRR) Conditions</p> <p>9. <b>Not a Medicaid-certified unit</b> → Skip to A1550, Conditions Related to ID/DD Status</p>
------------------------------------	---

**A1510. Level II Preadmission Screening and Resident Review (PASRR) Conditions**

Complete only if A0310A = 01, 03, 04, or 05

↓	<b>Check all that apply</b>
<input type="checkbox"/>	<b>A. Serious mental illness</b>
<input type="checkbox"/>	<b>B. Intellectual Disability ("mental retardation" in federal regulation)</b>
<input type="checkbox"/>	<b>C. Other related conditions</b>

**Section A****Identification Information****A1550. Conditions Related to ID/DD Status**

If the resident is 22 years of age or older, complete only if A0310A = 01

If the resident is 21 years of age or younger, complete only if A0310A = 01, 03, 04, or 05

↓ **Check all conditions that are related to ID/DD status** that were manifested before age 22, and are likely to continue indefinitely

- |  |  |
|--|--|
| <b>ID/DD With Organic Condition</b>    |  |
| <input type="checkbox"/>               | <b>A. Down syndrome</b>                            |
| <input type="checkbox"/>               | <b>B. Autism</b>                                   |
| <input type="checkbox"/>               | <b>C. Epilepsy</b>                                 |
| <input type="checkbox"/>               | <b>D. Other organic condition related to ID/DD</b> |
| <b>ID/DD Without Organic Condition</b> |  |
| <input type="checkbox"/>               | <b>E. ID/DD with no organic condition</b>          |
| <b>No ID/DD</b>                        |  |
| <input type="checkbox"/>               | <b>Z. None of the above</b>                        |

**A1600. Entry Date (date of this admission/entry or reentry into the facility)**

— —

Month Day Year

**A1700. Type of Entry**

- |                          |                     |
|--------------------------|---------------------|
| Enter Code               | 1. <b>Admission</b> |
| <input type="checkbox"/> | 2. <b>Reentry</b>   |

**A1800. Entered From**

- |                          |   |
|--------------------------|---|
| Enter Code               | 01. <b>Community</b> (private home/apt., board/care, assisted living, group home) |
| <input type="checkbox"/> | 02. <b>Another nursing home or swing bed</b>                                      |
|                          | 03. <b>Acute hospital</b>   |
|                          | 04. <b>Psychiatric hospital</b>   |
|                          | 05. <b>Inpatient rehabilitation facility</b>                                      |
|                          | 06. <b>ID/DD facility</b>   |
|                          | 07. <b>Hospice</b>  |
|                          | 09. <b>Long Term Care Hospital (LTCH)</b>   |
|                          | 99. <b>Other</b>  |

**A2000. Discharge Date**

Complete only if A0310F = 10, 11, or 12

— —

Month Day Year

**A2100. Discharge Status**

Complete only if A0310F = 10, 11, or 12

- |                          |   |
|--------------------------|---|
| Enter Code               | 01. <b>Community</b> (private home/apt., board/care, assisted living, group home) |
| <input type="checkbox"/> | 02. <b>Another nursing home or swing bed</b>                                      |
|                          | 03. <b>Acute hospital</b>   |
|                          | 04. <b>Psychiatric hospital</b>   |
|                          | 05. <b>Inpatient rehabilitation facility</b>                                      |
|                          | 06. <b>ID/DD facility</b>   |
|                          | 07. <b>Hospice</b>  |
|                          | 08. <b>Deceased</b>   |
|                          | 09. <b>Long Term Care Hospital (LTCH)</b>   |
|                          | 99. <b>Other</b>  |

**Section A Identification Information**

**A2300. Assessment Reference Date**

	<p><b>Observation end date:</b></p> <p style="text-align: center;">             -                      -              Month                  Day                      Year         </p>
--	---

**A2400. Medicare Stay**

<p>Enter Code</p> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 5px;"></div>	<p><b>A. Has the resident had a Medicare-covered stay since the most recent entry?</b></p> <p>0. <b>No</b> → Skip to B0100, Comatose</p> <p>1. <b>Yes</b> → Continue to A2400B, Start date of most recent Medicare stay</p> <p><b>B. Start date of most recent Medicare stay:</b></p> <p style="text-align: center;">             -                      -              Month                  Day                      Year         </p> <p><b>C. End date of most recent Medicare stay</b> - Enter dashes if stay is ongoing:</p> <p style="text-align: center;">             -                      -              Month                  Day                      Year         </p>
--	---

**Look back period for all items is 7 days unless another time frame is indicated**

**Section B Hearing, Speech, and Vision**

**B0100. Comatose**

<p>Enter Code</p> <div style="border: 1px solid black; width: 20px; height: 20px; margin: 5px;"></div>	<p><b>Persistent vegetative state/no discernible consciousness</b></p> <p>0. <b>No</b> → Continue to C0100, Should Brief Interview for Mental Status (C0200-C0500) be Conducted?</p> <p>1. <b>Yes</b> → Skip to G0110, Activities of Daily Living (ADL) Assistance</p>
--	--

**Section C****Cognitive Patterns****C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted?**

If A0310G = 2 skip to C0700. Otherwise, attempt to conduct interview with all residents

Enter Code

0. **No** (resident is rarely/never understood) → Skip to and complete C0700-C1000, Staff Assessment for Mental Status  
 1. **Yes** → Continue to C0200, Repetition of Three Words

**Brief Interview for Mental Status (BIMS)****C0200. Repetition of Three Words**

Enter Code

Ask resident: *"I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: **sock, blue, and bed.** Now tell me the three words."*

**Number of words repeated after first attempt**

0. **None**  
 1. **One**  
 2. **Two**  
 3. **Three**

After the resident's first attempt, repeat the words using cues (*"sock, something to wear; blue, a color; bed, a piece of furniture"*). You may repeat the words up to two more times.

**C0300. Temporal Orientation** (orientation to year, month, and day)

Enter Code

Ask resident: *"Please tell me what year it is right now."*

**A. Able to report correct year**

0. **Missed by > 5 years** or no answer  
 1. **Missed by 2-5 years**  
 2. **Missed by 1 year**  
 3. **Correct**

Enter Code

Ask resident: *"What month are we in right now?"*

**B. Able to report correct month**

0. **Missed by > 1 month** or no answer  
 1. **Missed by 6 days to 1 month**  
 2. **Accurate within 5 days**

Enter Code

Ask resident: *"What day of the week is today?"*

**C. Able to report correct day of the week**

0. **Incorrect** or no answer  
 1. **Correct**

**C0400. Recall**

Enter Code

Ask resident: *"Let's go back to an earlier question. What were those three words that I asked you to repeat?"*  
 If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word.

**A. Able to recall "sock"**

0. **No** - could not recall  
 1. **Yes, after cueing** ("something to wear")  
 2. **Yes, no cue required**

Enter Code

**B. Able to recall "blue"**

0. **No** - could not recall  
 1. **Yes, after cueing** ("a color")  
 2. **Yes, no cue required**

Enter Code

**C. Able to recall "bed"**

0. **No** - could not recall  
 1. **Yes, after cueing** ("a piece of furniture")  
 2. **Yes, no cue required**

**C0500. Summary Score**

Enter Score

**Add scores** for questions C0200-C0400 and fill in total score (00-15)

**Enter 99 if the resident was unable to complete the interview**



**Section C****Cognitive Patterns****C0600. Should the Staff Assessment for Mental Status (C0700 - C1000) be Conducted?**

Enter Code

0. **No** (resident was able to complete interview ) → Skip to C1300, Signs and Symptoms of Delirium  
 1. **Yes** (resident was unable to complete interview) → Continue to C0700, Short-term Memory OK

**Staff Assessment for Mental Status**

Do not conduct if Brief Interview for Mental Status (C0200-C0500) was completed

**C0700. Short-term Memory OK**

Enter Code

**Seems or appears to recall after 5 minutes**

0. **Memory OK**  
 1. **Memory problem**

**C1000. Cognitive Skills for Daily Decision Making**

Enter Code

**Made decisions regarding tasks of daily life**

0. **Independent** - decisions consistent/reasonable  
 1. **Modified independence** - some difficulty in new situations only  
 2. **Moderately impaired** - decisions poor; cues/supervision required  
 3. **Severely impaired** - never/rarely made decisions

**Delirium****C1300. Signs and Symptoms of Delirium (from CAM©)**Code **after completing** Brief Interview for Mental Status or Staff Assessment, and reviewing medical record

↓ Enter Codes in Boxes

**Coding:**

0. **Behavior not present**  
 1. **Behavior continuously present, does not fluctuate**  
 2. **Behavior present, fluctuates** (comes and goes, changes in severity)

**A. Inattention** - Did the resident have difficulty focusing attention (easily distracted, out of touch or difficulty following what was said)?**B. Disorganized thinking** - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?**C. Altered level of consciousness** - Did the resident have altered level of consciousness (e.g., **vigilant** - startled easily to any sound or touch; **lethargic** - repeatedly dozed off when being asked questions, but responded to voice or touch; **stuporous** - very difficult to arouse and keep aroused for the interview; **comatose** - could not be aroused)?**D. Psychomotor retardation**- Did the resident have an unusually decreased level of activity such as sluggishness, staring into space, staying in one position, moving very slowly?**C1600. Acute Onset Mental Status Change**

Enter Code

**Is there evidence of an acute change in mental status** from the resident's baseline?

0. **No**  
 1. **Yes**

**Section D****Mood****D0100. Should Resident Mood Interview be Conducted?**

If A0310G = 2 skip to E0100. Otherwise, attempt to conduct interview with all residents

Enter Code

0. **No** (resident is rarely/never understood) → Skip to and complete D0500-D0600, Staff Assessment of Resident Mood (PHQ-9-OV)
1. **Yes** → Continue to D0200, Resident Mood Interview (PHQ-9©)

**D0200. Resident Mood Interview (PHQ-9©)**

**Say to resident: "Over the last 2 weeks, have you been bothered by any of the following problems?"**

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.

If yes in column 1, then ask the resident: "**About how often have you been bothered by this?**"

Read and show the resident a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

**1. Symptom Presence**

0. **No** (enter 0 in column 2)
1. **Yes** (enter 0-3 in column 2)
9. **No response** (leave column 2 blank)

**2. Symptom Frequency**

0. **Never or 1 day**
1. **2-6 days** (several days)
2. **7-11 days** (half or more of the days)
3. **12-14 days** (nearly every day)

**1.  
Symptom  
Presence**

**2.  
Symptom  
Frequency**

↓ Enter Scores in Boxes ↓

**A. Little interest or pleasure in doing things**

**B. Feeling down, depressed, or hopeless**

**C. Trouble falling or staying asleep, or sleeping too much**

**D. Feeling tired or having little energy**

**E. Poor appetite or overeating**

**F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down**

**G. Trouble concentrating on things, such as reading the newspaper or watching television**

**H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual**

**I. Thoughts that you would be better off dead, or of hurting yourself in some way**

**D0300. Total Severity Score**

**Add scores for all frequency responses in Column 2, Symptom Frequency.** Total score must be between 00 and 27. Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for 3 or more items).

**D0350. Safety Notification** - Complete only if D0200I1 = 1 indicating possibility of resident self harm

Enter Code

**Was responsible staff or provider informed that there is a potential for resident self harm?**

0. **No**
1. **Yes**





**Section D****Mood****D0500. Staff Assessment of Resident Mood (PHQ-9-OV\*)**

Do not conduct if Resident Mood Interview (D0200-D0300) was completed

**Over the last 2 weeks, did the resident have any of the following problems or behaviors?**

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.

Then move to column 2, Symptom Frequency, and indicate symptom frequency.

	1. Symptom Presence	2. Symptom Frequency	1. Symptom Presence	2. Symptom Frequency
	0. <b>No</b> (enter 0 in column 2) 1. <b>Yes</b> (enter 0-3 in column 2)	0. <b>Never or 1 day</b> 1. <b>2-6 days</b> (several days) 2. <b>7-11 days</b> (half or more of the days) 3. <b>12-14 days</b> (nearly every day)	↓ Enter Scores in Boxes ↓	
<b>A. Little interest or pleasure in doing things</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>B. Feeling or appearing down, depressed, or hopeless</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>C. Trouble falling or staying asleep, or sleeping too much</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>D. Feeling tired or having little energy</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>E. Poor appetite or overeating</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>F. Indicating that s/he feels bad about self, is a failure, or has let self or family down</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>G. Trouble concentrating on things, such as reading the newspaper or watching television</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>H. Moving or speaking so slowly that other people have noticed. Or the opposite - being so fidgety or restless that s/he has been moving around a lot more than usual</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>I. States that life isn't worth living, wishes for death, or attempts to harm self</b>			<input type="checkbox"/>	<input type="checkbox"/>
<b>J. Being short-tempered, easily annoyed</b>			<input type="checkbox"/>	<input type="checkbox"/>

**D0600. Total Severity Score**
  
 Enter Score

Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 30.

**D0650. Safety Notification** - Complete only if D0500I1 = 1 indicating possibility of resident self harm

Enter Code

**Was responsible staff or provider informed that there is a potential for resident self harm?**

- 
- 0.
- No**
- 
- 
- 1.
- Yes**

**Section E****Behavior****E0100. Potential Indicators of Psychosis**

↓ Check all that apply

- A. Hallucinations** (perceptual experiences in the absence of real external sensory stimuli)
- B. Delusions** (misconceptions or beliefs that are firmly held, contrary to reality)
- Z. None of the above**

**Behavioral Symptoms****E0200. Behavioral Symptom - Presence & Frequency**

Note presence of symptoms and their frequency

<b>Coding:</b> 0. <b>Behavior not exhibited</b> 1. <b>Behavior of this type occurred 1 to 3 days</b> 2. <b>Behavior of this type occurred 4 to 6 days,</b> but less than daily 3. <b>Behavior of this type occurred daily</b>	↓ Enter Codes in Boxes	
	<input type="checkbox"/>	<b>A. Physical behavioral symptoms directed toward others</b> (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)
	<input type="checkbox"/>	<b>B. Verbal behavioral symptoms directed toward others</b> (e.g., threatening others, screaming at others, cursing at others)
	<input type="checkbox"/>	<b>C. Other behavioral symptoms not directed toward others</b> (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds)

**E0800. Rejection of Care - Presence & Frequency**

Enter Code <input type="checkbox"/>	<b>Did the resident reject evaluation or care</b> (e.g., bloodwork, taking medications, ADL assistance) <b>that is necessary to achieve the resident's goals for health and well-being?</b> Do not include behaviors that have already been addressed (e.g., by discussion or care planning with the resident or family), and determined to be consistent with resident values, preferences, or goals. 0. <b>Behavior not exhibited</b> 1. <b>Behavior of this type occurred 1 to 3 days</b> 2. <b>Behavior of this type occurred 4 to 6 days,</b> but less than daily 3. <b>Behavior of this type occurred daily</b>
--	---

**E0900. Wandering - Presence & Frequency**

Enter Code <input type="checkbox"/>	<b>Has the resident wandered?</b> 0. <b>Behavior not exhibited</b> 1. <b>Behavior of this type occurred 1 to 3 days</b> 2. <b>Behavior of this type occurred 4 to 6 days,</b> but less than daily 3. <b>Behavior of this type occurred daily</b>
--	--

**Section G****Functional Status****G0110. Activities of Daily Living (ADL) Assistance**

Refer to the ADL flow chart in the RAI manual to facilitate accurate coding

**Instructions for Rule of 3**

- When an activity occurs three times at any one given level, code that level.
- When an activity occurs three times at multiple levels, code the most dependent, exceptions are total dependence (4), activity must require full assist every time, and activity did not occur (8), activity must not have occurred at all. Example, three times extensive assistance (3) and three times limited assistance (2), code extensive assistance (3).
- When an activity occurs at various levels, but not three times at any given level, apply the following:
  - When there is a combination of full staff performance, and extensive assistance, code extensive assistance.
  - When there is a combination of full staff performance, weight bearing assistance and/or non-weight bearing assistance code limited assistance (2).

**If none of the above are met, code supervision.**

**1. ADL Self-Performance**

Code for **resident's performance** over all shifts - not including setup. If the ADL activity occurred 3 or more times at various levels of assistance, code the most dependent - except for total dependence, which requires full staff performance every time

**Coding:****Activity Occurred 3 or More Times**

0. **Independent** - no help or staff oversight at any time
1. **Supervision** - oversight, encouragement or cueing
2. **Limited assistance** - resident highly involved in activity; staff provide guided maneuvering of limbs or other non-weight-bearing assistance
3. **Extensive assistance** - resident involved in activity, staff provide weight-bearing support
4. **Total dependence** - full staff performance every time during entire 7-day period

**Activity Occurred 2 or Fewer Times**

7. **Activity occurred only once or twice** - activity did occur but only once or twice
8. **Activity did not occur** - activity did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period

**2. ADL Support Provided**

Code for **most support provided** over all shifts; code regardless of resident's self-performance classification

**Coding:**

0. **No** setup or physical help from staff
1. **Setup** help only
2. **One** person physical assist
3. **Two+** persons physical assist
8. ADL activity itself **did not occur** or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period

- |   | 1.<br>Self-Performance   | 2.<br>Support            |
|---|--------------------------|--------------------------|
| ↓ Enter Codes in Boxes ↓  |                          |                          |
| <b>A. Bed mobility</b> - how resident moves to and from lying position, turns side to side, and positions body while in bed or alternate sleep furniture  | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>B. Transfer</b> - how resident moves between surfaces including to or from: bed, chair, wheelchair, standing position ( <b>excludes</b> to/from bath/toilet)   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>C. Walk in room</b> - how resident walks between locations in his/her room   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>D. Walk in corridor</b> - how resident walks in corridor on unit   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>E. Locomotion on unit</b> - how resident moves between locations in his/her room and adjacent corridor on same floor. If in wheelchair, self-sufficiency once in chair   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>F. Locomotion off unit</b> - how resident moves to and returns from off-unit locations (e.g., areas set aside for dining, activities or treatments). <b>If facility has only one floor</b> , how resident moves to and from distant areas on the floor. If in wheelchair, self-sufficiency once in chair | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>G. Dressing</b> - how resident puts on, fastens and takes off all items of clothing, including donning/removing a prosthesis or TED hose. Dressing includes putting on and changing pajamas and housedresses   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>H. Eating</b> - how resident eats and drinks, regardless of skill. Do not include eating/drinking during medication pass. Includes intake of nourishment by other means (e.g., tube feeding, total parenteral nutrition, IV fluids administered for nutrition or hydration)                              | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>I. Toilet use</b> - how resident uses the toilet room, commode, bedpan, or urinal; transfers on/off toilet; cleanses self after elimination; changes pad; manages ostomy or catheter; and adjusts clothes. Do not include emptying of bedpan, urinal, bedside commode, catheter bag or ostomy bag        | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>J. Personal hygiene</b> - how resident maintains personal hygiene, including combing hair, brushing teeth, shaving, applying makeup, washing/drying face and hands ( <b>excludes</b> baths and showers)  | <input type="checkbox"/> | <input type="checkbox"/> |

**Section G****Functional Status****G0120. Bathing**

How resident takes full-body bath/shower, sponge bath, and transfers in/out of tub/shower (**excludes** washing of back and hair). Code for **most dependent** in self-performance and support

Enter Code <input type="text"/>	<b>A. Self-performance</b> 0. <b>Independent</b> - no help provided 1. <b>Supervision</b> - oversight help only 2. <b>Physical help limited to transfer only</b> 3. <b>Physical help in part of bathing activity</b> 4. <b>Total dependence</b> 8. <b>Activity itself did not occur</b> or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period
------------------------------------	---

**Section H****Bladder and Bowel****H0100. Appliances**

↓ Check all that apply

<input type="checkbox"/>	<b>A. Indwelling catheter</b> (including suprapubic catheter and nephrostomy tube)
<input type="checkbox"/>	<b>B. External catheter</b>
<input type="checkbox"/>	<b>C. Ostomy</b> (including urostomy, ileostomy, and colostomy)
<input type="checkbox"/>	<b>D. Intermittent catheterization</b>
<input type="checkbox"/>	<b>Z. None of the above</b>

**H0300. Urinary Continence**

Enter Code <input type="text"/>	<b>Urinary continence</b> - Select the one category that best describes the resident 0. <b>Always continent</b> 1. <b>Occasionally incontinent</b> (less than 7 episodes of incontinence) 2. <b>Frequently incontinent</b> (7 or more episodes of urinary incontinence, but at least one episode of continent voiding) 3. <b>Always incontinent</b> (no episodes of continent voiding) 9. <b>Not rated</b> , resident had a catheter (indwelling, condom), urinary ostomy, or no urine output for the entire 7 days
------------------------------------	--

**H0400. Bowel Continence**

Enter Code <input type="text"/>	<b>Bowel continence</b> - Select the one category that best describes the resident 0. <b>Always continent</b> 1. <b>Occasionally incontinent</b> (one episode of bowel incontinence) 2. <b>Frequently incontinent</b> (2 or more episodes of bowel incontinence, but at least one continent bowel movement) 3. <b>Always incontinent</b> (no episodes of continent bowel movements) 9. <b>Not rated</b> , resident had an ostomy or did not have a bowel movement for the entire 7 days
------------------------------------	--

**Section I****Active Diagnoses****Active Diagnoses in the last 7 days - Check all that apply**

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

<input type="checkbox"/>	<b>Heart/Circulation</b>
<input type="checkbox"/>	<b>I0900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)</b>
	<b>Genitourinary</b>
<input type="checkbox"/>	<b>I1550. Neurogenic Bladder</b>
<input type="checkbox"/>	<b>I1650. Obstructive Uropathy</b>
	<b>Infections</b>
<input type="checkbox"/>	<b>I2300. Urinary Tract Infection (UTI) (LAST 30 DAYS)</b>
	<b>Metabolic</b>
<input type="checkbox"/>	<b>I2900. Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy)</b>
	<b>Neurological</b>
<input type="checkbox"/>	<b>I5250. Huntington's Disease</b>
<input type="checkbox"/>	<b>I5350. Tourette's Syndrome</b>
	<b>Nutritional</b>
<input type="checkbox"/>	<b>I5600. Malnutrition (protein or calorie) or at risk for malnutrition</b>
	<b>Psychiatric/Mood Disorder</b>
<input type="checkbox"/>	<b>I5700. Anxiety Disorder</b>
<input type="checkbox"/>	<b>I5900. Manic Depression (bipolar disease)</b>
<input type="checkbox"/>	<b>I5950. Psychotic Disorder (other than schizophrenia)</b>
<input type="checkbox"/>	<b>I6000. Schizophrenia (e.g., schizoaffective and schizophreniform disorders)</b>
<input type="checkbox"/>	<b>I6100. Post Traumatic Stress Disorder (PTSD)</b>
	<b>Other</b>
	<b>I8000. Additional active diagnoses</b>
	Enter diagnosis on line and ICD code in boxes. Include the decimal for the code in the appropriate box.
	A. _____
	B. _____
	C. _____
	D. _____
	E. _____
	F. _____
	G. _____
	H. _____
	I. _____
	J. _____

**Section J****Health Conditions****J0100. Pain Management** - Complete for all residents, regardless of current pain levelAt any time in the last **5** days, has the resident:

Enter Code <input type="checkbox"/>	<b>A. Received scheduled pain medication regimen?</b> 0. <b>No</b> 1. <b>Yes</b>
Enter Code <input type="checkbox"/>	<b>B. Received PRN pain medications OR was offered and declined?</b> 0. <b>No</b> 1. <b>Yes</b>
Enter Code <input type="checkbox"/>	<b>C. Received non-medication intervention for pain?</b> 0. <b>No</b> 1. <b>Yes</b>

**J0200. Should Pain Assessment Interview be Conducted?**

If resident is comatose or if A0310G = 2, skip to J1100, Shortness of Breath (dyspnea). Otherwise, attempt to conduct interview with all residents

Enter Code <input type="checkbox"/>	0. <b>No</b> (resident is rarely/never understood) → Skip to and complete J1100, Shortness of Breath 1. <b>Yes</b> → Continue to J0300, Pain Presence
--	--

**Pain Assessment Interview****J0300. Pain Presence**

Enter Code <input type="checkbox"/>	Ask resident: " <b>Have you had pain or hurting at any time in the last 5 days?</b> " 0. <b>No</b> → Skip to J1100, Shortness of Breath 1. <b>Yes</b> → Continue to J0400, Pain Frequency 9. <b>Unable to answer</b> → Skip to J1100, Shortness of Breath (dyspnea)
--	--

**J0400. Pain Frequency**

Enter Code <input type="checkbox"/>	Ask resident: " <b>How much of the time have you experienced pain or hurting over the last 5 days?</b> " 1. <b>Almost constantly</b> 2. <b>Frequently</b> 3. <b>Occasionally</b> 4. <b>Rarely</b> 9. <b>Unable to answer</b>
--	---

**J0500. Pain Effect on Function**

Enter Code <input type="checkbox"/>	<b>A.</b> Ask resident: " <b>Over the past 5 days, has pain made it hard for you to sleep at night?</b> " 0. <b>No</b> 1. <b>Yes</b> 9. <b>Unable to answer</b>
Enter Code <input type="checkbox"/>	<b>B.</b> Ask resident: " <b>Over the past 5 days, have you limited your day-to-day activities because of pain?</b> " 0. <b>No</b> 1. <b>Yes</b> 9. <b>Unable to answer</b>

**J0600. Pain Intensity** - Administer **ONLY ONE** of the following pain intensity questions (A or B)

Enter Rating <input type="checkbox"/>	<b>A. Numeric Rating Scale (00-10)</b> Ask resident: " <b>Please rate your worst pain over the last 5 days on a zero to ten scale, with zero being no pain and ten as the worst pain you can imagine.</b> " (Show resident 00 -10 pain scale) <b>Enter two-digit response. Enter 99 if unable to answer.</b>
Enter Code <input type="checkbox"/>	<b>B. Verbal Descriptor Scale</b> Ask resident: " <b>Please rate the intensity of your worst pain over the last 5 days.</b> " (Show resident verbal scale) 1. <b>Mild</b> 2. <b>Moderate</b> 3. <b>Severe</b> 4. <b>Very severe, horrible</b> 9. <b>Unable to answer</b>



**Section J Health Conditions**

**Other Health Conditions**

**J1100. Shortness of Breath (dyspnea)**

↓ Check all that apply

- A. Shortness of breath** or trouble breathing **with exertion** (e.g., walking, bathing, transferring)
- B. Shortness of breath** or trouble breathing **when sitting at rest**
- C. Shortness of breath** or trouble breathing **when lying flat**
- Z. None of the above**

**J1400. Prognosis**

Enter Code  Does the resident have a condition or chronic disease that may result in a **life expectancy of less than 6 months?** (Requires physician documentation)  
 0. **No**  
 1. **Yes**

**J1550. Problem Conditions**

↓ Check all that apply

- A. Fever**
- B. Vomiting**
- C. Dehydrated**
- D. Internal bleeding**
- Z. None of the above**

**J1800. Any Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent**

Enter Code  Has the resident **had any falls since admission/entry or reentry or the prior assessment** (OBRA or scheduled PPS), whichever is more recent?  
 0. **No** → Skip to K0200, Height and Weight  
 1. **Yes** → Continue to J1900, Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS)

**J1900. Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent**

↓ Enter Codes in Boxes

**Coding:**  
 0. **None**  
 1. **One**  
 2. **Two or more**

- A. No injury** - no evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the resident; no change in the resident's behavior is noted after the fall
- B. Injury (except major)** - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain
- C. Major injury** - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma

**Section K****Swallowing/Nutritional Status****K0200. Height and Weight** - While measuring, if the number is X.1 - X.4 round down; X.5 or greater round up

<input type="text"/> inches	<b>A. Height</b> (in inches). Record most recent height measure since admission/entry or reentry
<input type="text"/> pounds	<b>B. Weight</b> (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.)

**K0300. Weight Loss**

Enter Code <input type="text"/>	<b>Loss of 5% or more in the last month or loss of 10% or more in last 6 months</b> 0. <b>No</b> or unknown 1. <b>Yes, on</b> physician-prescribed weight-loss regimen 2. <b>Yes, not on</b> physician-prescribed weight-loss regimen
------------------------------------	--

**K0310. Weight Gain**

Enter Code <input type="text"/>	<b>Gain of 5% or more in the last month or gain of 10% or more in last 6 months</b> 0. <b>No</b> or unknown 1. <b>Yes, on</b> physician-prescribed weight-gain regimen 2. <b>Yes, not on</b> physician-prescribed weight-gain regimen
------------------------------------	--

**K0510. Nutritional Approaches**Check all of the following nutritional approaches that were performed during the last **7 days**

	1. While NOT a Resident	2. While a Resident
<b>1. While NOT a Resident</b> Performed <b>while NOT a resident</b> of this facility and within the <b>last 7 days</b> . Only check column 1 if resident entered (admission or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days ago, leave column 1 blank		
<b>2. While a Resident</b> Performed <b>while a resident</b> of this facility and within the <b>last 7 days</b>	↓ Check all that apply ↓	↓
<b>A. Parenteral/IV feeding</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>B. Feeding tube</b> - nasogastric or abdominal (PEG)	<input type="checkbox"/>	<input type="checkbox"/>
For the following items, if A0310G = 2, skip to M0100, Determination of Pressure Ulcer Risk		
<b>C. Mechanically altered diet</b> - require change in texture of food or liquids (e.g., pureed food, thickened liquids)	<input type="checkbox"/>	<input type="checkbox"/>
<b>D. Therapeutic diet</b> (e.g., low salt, diabetic, low cholesterol)	<input type="checkbox"/>	<input type="checkbox"/>
<b>Z. None of the above</b>	<input type="checkbox"/>	<input type="checkbox"/>



**Section M****Skin Conditions**

**Report based on highest stage of existing ulcer(s) at its worst; do not "reverse" stage**

**M0100. Determination of Pressure Ulcer Risk**

↓ Check all that apply

**A. Resident has a stage 1 or greater, a scar over bony prominence, or a non-removable dressing/device**

**M0300. Current Number of Unhealed (non-epithelialized) Pressure Ulcers at Each Stage**

Enter Number <input type="text"/>	<p><b>B. Stage 2:</b> Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister</p> <p><b>1. Number of Stage 2 pressure ulcers</b></p>
Enter Number <input type="text"/>	<p><b>C. Stage 3:</b> Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling</p> <p><b>1. Number of Stage 3 pressure ulcers</b></p>
Enter Number <input type="text"/>	<p><b>D. Stage 4:</b> Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling</p> <p><b>1. Number of Stage 4 pressure ulcers</b></p>
Enter Number <input type="text"/>	<p><b>E. Unstageable - Non-removable dressing:</b> Known but not stageable due to non-removable dressing/device</p> <p><b>1. Number of unstageable pressure ulcers due to non-removable dressing/device</b></p>
Enter Number <input type="text"/>	<p><b>F. Unstageable - Slough and/or eschar:</b> Known but not stageable due to coverage of wound bed by slough and/or eschar</p> <p><b>1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar</b></p>
Enter Number <input type="text"/>	<p><b>G. Unstageable - Deep tissue:</b> Suspected deep tissue injury in evolution</p> <p><b>1. Number of unstageable pressure ulcers with suspected deep tissue injury in evolution</b> - If 0 → Skip to M0610, Dimension of Unhealed Stage 3 or 4 Pressure Ulcers or Eschar</p> <p><b>2. Number of these unstageable pressure ulcers that were present at time of admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>

**M0610. Dimensions of Unhealed Stage 3 or 4 Pressure Ulcers or Eschar**

Complete only if M0300C1, M0300D1 or M0300F1 is greater than 0

If the resident has one or more unhealed (non-epithelialized) Stage 3 or 4 pressure ulcers or an unstageable pressure ulcer due to slough or eschar, identify the pressure ulcer with the largest surface area (length x width) and record in centimeters:

<input type="text"/> . <input type="text"/> cm	<b>A. Pressure ulcer length:</b> Longest length from head to toe
<input type="text"/> . <input type="text"/> cm	<b>B. Pressure ulcer width:</b> Widest width of the same pressure ulcer, side-to-side perpendicular (90-degree angle) to length
<input type="text"/> . <input type="text"/> cm	<b>C. Pressure ulcer depth:</b> Depth of the same pressure ulcer from the visible surface to the deepest area (if depth is unknown, enter a dash in each box)

**Section M****Skin Conditions****M0800. Worsening in Pressure Ulcer Status Since Prior Assessment (OBRA or Scheduled PPS) or Last Admission/Entry or Reentry**  
Complete only if A0310E = 0

Indicate the number of current pressure ulcers that were **not present or were at a lesser stage** on prior assessment (OBRA or scheduled PPS) or last admission/entry or reentry. If no current pressure ulcer at a given stage, enter 0

Enter Number <input type="text"/>	<b>A. Stage 2</b>
Enter Number <input type="text"/>	<b>B. Stage 3</b>
Enter Number <input type="text"/>	<b>C. Stage 4</b>

**M0900. Healed Pressure Ulcers**

Complete only if A0310E = 0

Enter Code <input type="text"/>	<b>A. Were pressure ulcers present on the prior assessment (OBRA or Scheduled PPS)?</b> 0. <b>No</b> → Skip to N0410, Medications Received 1. <b>Yes</b> → Continue to M0900B, Stage 2
	Indicate the number of pressure ulcers that were noted on the prior assessment (OBRA or Scheduled PPS) that have completely closed (resurfaced with epithelium). If no healed pressure ulcer at a given stage since the prior assessment (OBRA or Scheduled PPS), enter 0
Enter Number <input type="text"/>	<b>B. Stage 2</b>
Enter Number <input type="text"/>	<b>C. Stage 3</b>
Enter Number <input type="text"/>	<b>D. Stage 4</b>

**Section N****Medications****N0410. Medications Received**

Indicate the number of **DAYS** the resident received the following medications during the last 7 days or since admission/entry or reentry if less than 7 days. Enter "0" if medication was not received by the resident during the last 7 days

Enter Days <input type="text"/>	<b>A. Antipsychotic</b>
Enter Days <input type="text"/>	<b>B. Antianxiety</b>
Enter Days <input type="text"/>	<b>C. Antidepressant</b>
Enter Days <input type="text"/>	<b>D. Hypnotic</b>
Enter Days <input type="text"/>	<b>E. Anticoagulant</b> (warfarin, heparin, or low-molecular weight heparin)
Enter Days <input type="text"/>	<b>F. Antibiotic</b>
Enter Days <input type="text"/>	<b>G. Diuretic</b>

**Section O****Special Treatments, Procedures, and Programs****O0100. Special Treatments, Procedures, and Programs**

Check all of the following treatments, procedures, and programs that were performed during the last **14 days**

<b>1. While NOT a Resident</b> Performed <b>while NOT a resident</b> of this facility and within the <b>last 14 days</b> . Only check column 1 if resident entered (admission or reentry) IN THE LAST 14 DAYS. If resident last entered 14 or more days ago, leave column 1 blank	<b>1. While NOT a Resident</b>	<b>2. While a Resident</b>
<b>2. While a Resident</b> Performed <b>while a resident</b> of this facility and within the <b>last 14 days</b>	↓ Check all that apply ↓	
<b>K. Hospice care</b>		<input type="checkbox"/>

**O0250. Influenza Vaccine** - Refer to current version of RAI manual for current flu season and reporting period

Enter Code <input type="text"/>	<b>A. Did the resident receive the Influenza vaccine in this facility</b> for this year's Influenza season? 0. <b>No</b> → Continue to O0250C, If Influenza vaccine not received, state reason 1. <b>Yes</b> → Skip to O0300, Pneumococcal Vaccine
Enter Code <input type="text"/>	<b>C. If Influenza vaccine not received, state reason:</b> 1. <b>Resident not in facility</b> during this year's flu season 2. <b>Received outside of this facility</b> 3. <b>Not eligible</b> - medical contraindication 4. <b>Offered and declined</b> 5. <b>Not offered</b> 6. <b>Inability to obtain vaccine</b> due to a declared shortage 9. <b>None of the above</b>

**O0300. Pneumococcal Vaccine**

Enter Code <input type="text"/>	<b>A. Is the resident's Pneumococcal vaccination up to date?</b> 0. <b>No</b> → Continue to O0300B, If Pneumococcal vaccine not received, state reason 1. <b>Yes</b> → Skip to O0400, Therapies
Enter Code <input type="text"/>	<b>B. If Pneumococcal vaccine not received, state reason:</b> 1. <b>Not eligible</b> - medical contraindication 2. <b>Offered and declined</b> 3. <b>Not offered</b>

**Section O****Special Treatments, Procedures, and Programs****O0400. Therapies**

Enter Number of Days <input type="text"/>	<b>A. Speech-Language Pathology and Audiology Services</b>	
	<p><b>4. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> <p><b>5. Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started</p> <p style="text-align: center;">_      _ Month      Day      Year</p>	<p><b>6. Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing</p> <p style="text-align: center;">_      _      _ Month      Day      Year</p>
	<b>B. Occupational Therapy</b>	
Enter Number of Days <input type="text"/>	<p><b>4. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> <p><b>5. Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started</p> <p style="text-align: center;">_      _ Month      Day      Year</p>	<p><b>6. Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing</p> <p style="text-align: center;">_      _      _ Month      Day      Year</p>
	<b>C. Physical Therapy</b>	
Enter Number of Days <input type="text"/>	<p><b>4. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> <p><b>5. Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started</p> <p style="text-align: center;">_      _ Month      Day      Year</p>	<p><b>6. Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing</p> <p style="text-align: center;">_      _      _ Month      Day      Year</p>

**Section P****Restraints****P0100. Physical Restraints**

Physical restraints are any manual method or physical or mechanical device, material or equipment attached or adjacent to the resident's body that the individual cannot remove easily which restricts freedom of movement or normal access to one's body

<b>Coding:</b> 0. Not used 1. Used less than daily 2. Used daily	↓ <b>Enter Codes in Boxes</b>
	<b>Used in Bed</b>
	<input type="checkbox"/> <b>A. Bed rail</b>
	<input type="checkbox"/> <b>B. Trunk restraint</b>
	<input type="checkbox"/> <b>C. Limb restraint</b>
	<input type="checkbox"/> <b>D. Other</b>
	<b>Used in Chair or Out of Bed</b>
	<input type="checkbox"/> <b>E. Trunk restraint</b>
	<input type="checkbox"/> <b>F. Limb restraint</b>
	<input type="checkbox"/> <b>G. Chair prevents rising</b>
<input type="checkbox"/> <b>H. Other</b>	

**Section Q****Participation in Assessment and Goal Setting****Q0400. Discharge Plan**

Enter Code

**A. Is active discharge planning already occurring for the resident to return to the community?**

- 0. **No**
- 1. **Yes**

**Q0600. Referral**

Enter Code

**Has a referral been made to the Local Contact Agency?** (Document reasons in resident's clinical record)

- 0. **No** - referral not needed
- 1. **No** - referral is or may be needed (For more information see Appendix C, Care Area Assessment Resources #20)
- 2. **Yes** - referral made

**Section X****Correction Request****Complete Section X only if A0050 = 2 or 3**

**Identification of Record to be Modified/Inactivated** - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database.

**X0150. Type of Provider**

Enter Code	<b>Type of provider</b>
<input type="text"/>	1. <b>Nursing home (SNF/NF)</b>
	2. <b>Swing Bed</b>

**X0200. Name of Resident** on existing record to be modified/inactivatedA. **First name:**C. **Last name:****X0300. Gender** on existing record to be modified/inactivated

Enter Code	1. <b>Male</b>
<input type="text"/>	2. <b>Female</b>

**X0400. Birth Date** on existing record to be modified/inactivated

	—	—	
	Month	Day	Year

**X0500. Social Security Number** on existing record to be modified/inactivated

	—	—	
--	---	---	--

**X0600. Type of Assessment** on existing record to be modified/inactivated

Enter Code	<b>A. Federal OBRA Reason for Assessment</b>
<input type="text"/>	01. <b>Admission</b> assessment (required by day 14)
	02. <b>Quarterly</b> review assessment
	03. <b>Annual</b> assessment
	04. <b>Significant change in status</b> assessment
	05. <b>Significant correction to prior comprehensive</b> assessment
	06. <b>Significant correction to prior quarterly</b> assessment
	99. <b>None of the above</b>

Enter Code	<b>B. PPS Assessment</b>
<input type="text"/>	<b>PPS Scheduled Assessments for a Medicare Part A Stay</b>
	01. <b>5-day</b> scheduled assessment
	02. <b>14-day</b> scheduled assessment
	03. <b>30-day</b> scheduled assessment
	04. <b>60-day</b> scheduled assessment
	05. <b>90-day</b> scheduled assessment
	06. <b>Readmission/return</b> assessment
	<b>PPS Unscheduled Assessments for a Medicare Part A Stay</b>
	07. <b>Unscheduled assessment used for PPS</b> (OMRA, significant or clinical change, or significant correction assessment)
	<b>Not PPS Assessment</b>
	99. <b>None of the above</b>

Enter Code	<b>C. PPS Other Medicare Required Assessment - OMRA</b>
<input type="text"/>	0. <b>No</b>
	1. <b>Start of therapy</b> assessment
	2. <b>End of therapy</b> assessment
	3. <b>Both Start and End of therapy</b> assessment
	4. <b>Change of therapy</b> assessment

**X0600 continued on next page**

**Section X****Correction Request****X0600. Type of Assessment - Continued**

<b>Enter Code</b> <input type="text"/>	<b>D. Is this a Swing Bed clinical change assessment?</b> Complete only if X0150 = 2 0. <b>No</b> 1. <b>Yes</b>
<b>Enter Code</b> <input type="text"/>	<b>F. Entry/discharge reporting</b> 01. <b>Entry</b> tracking record 10. <b>Discharge</b> assessment- <b>return not anticipated</b> 11. <b>Discharge</b> assessment- <b>return anticipated</b> 12. <b>Death in facility</b> tracking record 99. <b>None of the above</b>

**X0700. Date on existing record to be modified/inactivated - Complete one only**

	<b>A. Assessment Reference Date</b> - Complete only if X0600F = 99  <div style="text-align: center;">       -                      -        Month                  Day                      Year     </div>
	<b>B. Discharge Date</b> - Complete only if X0600F = 10, 11, or 12  <div style="text-align: center;">       -                      -        Month                  Day                      Year     </div>
	<b>C. Entry Date</b> - Complete only if X0600F = 01  <div style="text-align: center;">       -                      -        Month                  Day                      Year     </div>

**Correction Attestation Section** - Complete this section to explain and attest to the modification/inactivation request**X0800. Correction Number**

<b>Enter Number</b> <input type="text"/>	<b>Enter the number of correction requests to modify/inactivate the existing record, including the present one</b>
---	--

**X0900. Reasons for Modification** - Complete only if Type of Record is to modify a record in error (A0050 = 2)

<b>↓ Check all that apply</b>	
<input type="checkbox"/>	<b>A. Transcription error</b>
<input type="checkbox"/>	<b>B. Data entry error</b>
<input type="checkbox"/>	<b>C. Software product error</b>
<input type="checkbox"/>	<b>D. Item coding error</b>
<input type="checkbox"/>	<b>E. End of Therapy - Resumption (EOT-R) date</b>
<input type="checkbox"/>	<b>Z. Other error requiring modification</b> If "Other" checked, please specify: _____

**X1050. Reasons for Inactivation** - Complete only if Type of Record is to inactivate a record in error (A0050 = 3)

<b>↓ Check all that apply</b>	
<input type="checkbox"/>	<b>A. Event did not occur</b>
<input type="checkbox"/>	<b>Z. Other error requiring inactivation</b> If "Other" checked, please specify: _____

**Section X**

**Correction Request**

**X1100. RN Assessment Coordinator Attestation of Completion**

**A. Attesting individual's first name:**

**B. Attesting individual's last name:**

**C. Attesting individual's title:**

**D. Signature**

**E. Attestation date**

Month      Day      Year



**Section Z****Assessment Administration****Z0300. Insurance Billing****A. RUG billing code:****B. RUG billing version:****Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting**

I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf.

Signature	Title	Sections	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			

**Z0500. Signature of RN Assessment Coordinator Verifying Assessment Completion****A. Signature:****B. Date RN Assessment Coordinator signed assessment as complete:**

—                      —

Month                      Day                      Year

**Legal Notice Regarding MDS 3.0** - Copyright 2011 United States of America and InterRAI. This work may be freely used and distributed solely within the United States. Portions of the MDS 3.0 are under separate copyright protections; Pfizer Inc. holds the copyright for the PHQ-9 and the Annals of Internal Medicine holds the copyright for the CAM. Both Pfizer Inc. and the Annals of Internal Medicine have granted permission to freely use these instruments in association with the MDS 3.0.